

# **U.S. DEPARTMENT OF EDUCATION**

**OFFICE OF POSTSECONDARY EDUCATION  
INTERNATIONAL EDUCATION AND GRADUATE PROGRAMS  
SERVICE**

**WASHINGTON, D.C. 20202-5247**



**Fiscal Year 1999**

**APPLICATION FOR NEW GRANTS**

## **JACOB K. JAVITS FELLOWSHIP PROGRAM**

**CFDA No. 84.170**

**CLOSING DATE: MARCH 19, 1999**

**DATED MATERIAL – OPEN IMMEDIATELY**

**Application For Grants Under  
The Jacob K. Javits Fellowship Program**

**T A B L E O F C O N T E N T S**

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Dear Applicant:

The Jacob K. Javits Fellowship Program is designed to assist students of superior ability to pursue graduate programs leading to the doctorate or Master of Fine Arts in selected fields in the arts, humanities, and social sciences.

The Program is administered by the U.S. Department of Education's Office of Higher Education Programs and operates under general policies established by the Jacob K. Javits Fellowship Board. The Board is comprised of distinguished individuals appointed by the Secretary of Education.

The Department expects to award approximately 43 new fellowships in 1999. Eligibility is limited to students who at the time of application have not yet completed their first year of graduate study or will be entering graduate school in academic year 1999-2000. Eligibility is limited to U.S. citizens or nationals, permanent residents, or other eligible non-citizens. Applicants must be eligible to be accepted to or currently attending a graduate program leading to a doctorate or Master of Fine Arts in an approved field of study listed on page 9 of this application, at an accredited U.S. institution of higher education.

Applicants will be evaluated by panels of scholars and academicians. Javits Fellows will be chosen on the basis of demonstrated achievements and exceptional promise. The application evaluation will include an assessment of the applicant's personal statement, academic qualifications, recommendations, and other significant scholarly and academic honors and awards. The annual stipend awarded to a Fellow will be the lesser of \$15,000 or the Fellow's financial need as determined by the institution where the Fellow attends in accordance with Part F of Title IV of the Higher Education Act of 1965 as amended.

This packet includes the application forms, instructions for completing the application forms, references, and other relevant information. Please refer to the certification section of this application. If you have any questions about these materials or desire additional information regarding the program, please call 202-260-3574.

The closing date for submission of applications is March 19, 1999.

Thank you for your interest in the Program. On behalf of the Jacob K. Javits Fellowship Board, I wish you every success with your application and in your graduate studies.

Best Regards,

Claudio R. Prieto  
Deputy Assistant Secretary  
for Higher Education Programs

4000-01-U

DEPARTMENT OF EDUCATION

(CFDA No. 84.170)

Jacob K. Javits Fellowship Program

Notice inviting applications for new awards for fiscal year (FY) 1999.

PURPOSE OF PROGRAM: To award fellowships to eligible students of superior ability, selected on the basis of demonstrated achievement, financial need, and exceptional promise to undertake graduate study leading to a doctoral degree or a Master of Fine Arts (MFA) at accredited institutions of higher education in selected fields of the arts, humanities, or social sciences. This program supports the National Education Goal for adult Americans to possess the knowledge and skills necessary to compete in a global economy and exercise the rights and responsibilities of citizenship.

ELIGIBLE APPLICANTS: Eligibility is limited to students who at the time of application have not yet completed their first year of graduate study or will be entering graduate school in academic year 1999-2000. Eligibility is limited to students who are eligible to receive any grant, loan, or work assistance and intend to pursue a doctoral degree or MFA degree in fields selected by the Jacob K. Javits Fellowship Board at accredited institutions of higher education in the U.S. Students must be U.S. citizens or nationals, permanent residents of the U.S., or a citizen of any one of the Freely Associated States.

APPLICATIONS AVAILABLE: January 25, 1999.

DEADLINE FOR TRANSMITTAL OF APPLICATIONS: March 19, 1999.

AVAILABLE FUNDS: \$1,106,000.

NOTE: If increased program funds become available, additional awards will be made.

ESTIMATED RANGE OF AWARDS: The Secretary has determined that the fellowship stipend for academic year 1999-2000 is \$15,000, which is equal to the level of support that the National

Science Foundation is providing for its graduate fellowships, or the fellow's financial need, as determined by Part F of Title IV of the Higher Education Act, whichever is less. The institutional payment for academic year 1998-1999 was \$10,222. The Secretary will adjust the institutional payment prior to the issuance of grant awards based on the Department of Labor's projection in December 1998 of the Consumer Price Index for 1999.

ESTIMATED AVERAGE SIZE OF THE AWARDS: \$25,300.

ESTIMATED NUMBER OF AWARDS: 43 individual fellowships.

PROJECT PERIOD: Up to 48 months.

NOTE: The Department is not bound by any estimates in this notice.

APPLICABLE REGULATIONS: (a) The Education Department general Administrative Regulations (EDGAR) in 34 CFR Parts

74, 75 (except as provided in 34 CFR 650.3(b)), 77, 82, 85 and 86; and (b) The regulations for this program in 34 CFR Part 650.

NOTE: Currently, section 701(a) of the HEA requires that fellowship funds be made available to students in the year following the year that the funds were appropriated. The Department expects to request a technical amendment to this provision. However, if an amendment is not enacted fellowships will not be available until the Fall of 2000.

FOR FURTHER INFORMATION OR APPLICATIONS: Contact Melissa Burton, Jacob K. Javits Fellowship Program, U.S. Department of Education, 400 Maryland Avenue, SW., Washington, DC 20202-5247. Telephone: (202) 260-3574. Individuals who use a telecommunications device for the deaf (TDD) may call the Federal Information Relay Service (FIRS) at 1-800-877-8339 between 8 a.m. and 8 p.m., Eastern time, Monday through Friday.

Individuals with disabilities may obtain this document in an alternate format (e.g., Braille, large print, audiotape, or computer diskette) on request to the contact person listed in the preceding paragraph.

Individuals with disabilities may obtain a copy of the application package in an alternate format, also, by contacting that person. However, the Department is not able to reproduce in an alternate format the standard forms included in the application package.

Electronic Access to This Document

Anyone may view this document, as well as all other Department of Education documents published in the **Federal Register**, in text or portable document format (pdf) via Internet at either of the following sites:

<http://ocfo.ed.gov/fedreg.htm>

<http://www.ed.gov/news.html>

To use the pdf you must have the Adobe Acrobat Reader Program with Search, which is available free at either of the previous sites. If you have questions about using the pdf, call the U.S. Government Printing Office at (202) 512-1530 or, toll free at, 1-888-293-6498.

Anyone may also view these documents in text copy only on an electronic bulletin board of the Department. Telephone: (202) 219-1511 or, toll free, 1-800-222-4922. The documents are located under Option G--Files/Announcements, Bulletins and Press Releases.

Note: The official version of a document is the document published in the **Federal Register**.

PROGRAM AUTHORITY: 20 U.S.C. 1134-1134d.

Dated:

Maureen A. McLaughlin,

Acting Assistant Secretary

for Postsecondary Education.

# GENERAL INFORMATION

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Additional applications may be obtained by sending an e-mail to [melissa\\_burton@ed.gov](mailto:melissa_burton@ed.gov), faxing a request to (202)205-9489 or writing to:

**Jacob K. Javits Fellowship Program**  
Portals, Suite 600  
1280 Maryland Avenue, S.W.  
Washington, DC 20024

All questions regarding the applications should be addressed either by writing to: Melissa Burton, Jacob K. Javits Fellowship Program, U.S. Department of Education, Washington, DC 20202-5247, or by faxing or e-mailing to the numbers listed above.

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## **Purpose**

The Jacob K. Javits Fellowship Program provides financial assistance to students of superior ability, as demonstrated by their achievements and exceptional promise, to undertake study at the doctoral and Master of Fine Arts (MFA) level in selected fields of the arts, humanities, and social sciences.

## **History**

The program was originally designated the National Graduate Fellowship Program (NGFP) and was introduced into law by Senator Jacob K. Javits (R-NY). Senator Javits, long recognized for his support of education and the arts during his 24 years in the Senate, died on March 7, 1986. His Congressional colleagues honored him in 1986 by redesignating the NGFP the Jacob K. Javits Fellows Program.

## **Authorization/Appropriations**

The Program is authorized by Title VII, Part A, Subpart 1 of the Higher Education Act of 1965, as amended. **Please keep in mind that appropriations from the federal government are made on an annual basis, meaning that funding for continuing grants may or may not be available from one year to the next.**

## **Eligible Applicants**

Eligibility is limited to students who at the time of application have not yet completed their first year of graduate study or will be entering graduate school in academic year 1999-2000. This applies regardless of the purpose for the year of study, or whether that graduate study has been applied to an advanced degree. The fifth year of a five-year, joint baccalaureate/master's degree program is considered to be graduate study. Twenty percent of the fellowships shall be awarded in the social sciences, twenty percent in the arts, and sixty percent in the humanities. A minimum of sixty percent of the awards shall be made to students who have no graduate credits.

Eligibility is limited to students who are eligible to receive any grant, loan, or work assistance pursuant to section 484 of the Higher Education Act of 1965, as amended. Eligibility is limited

to U.S. citizens or nationals, permanent residents of the U.S., or a citizen of any one of the Freely Associated States. Applicants must be eligible to be accepted to or currently attending a graduate program leading to a doctorate or Master of Fine Arts in an eligible field of study at an institution of higher education approved by an accrediting agency recognized by the Secretary of the Department of Education. Applicants attending a foreign institution are ineligible. A person is ineligible to apply for this fellowship if he or she has defaulted on a debt to the Federal Government on any previous nonprocurement transaction with the Federal Government and has not made satisfactory arrangements to repay a defaulted debt, or has received sentencing under Section 5301 of the Anti-Drug Abuse Act of 1988.

### **Eligible Fields of Study**

The Javits Fellowship Board annually announces the fields of study in which fellowships are to be awarded. The only eligible fields of study are those listed on page 9 of this document. Applications submitted for study in fields not listed will be ruled ineligible and will not be reviewed. Please take extra care when marking your Field of Study Code on item No. 12 of the scannable form. Incomplete or inaccurate numbers will delay the review of your application.

### **Awards**

Awards are made for one year, and are renewable to a maximum of four years contingent upon the student making satisfactory progress toward the degree. The award consists of two parts: a stipend to the Fellow for personal expenses, and an institutional payment to be accepted by the institution on behalf of the Fellow in lieu of all tuition and fees normally charged to students of similar academic standing.

The **stipend** awarded to new Fellows commencing their fellowships in academic year 1999-2000, and thereafter on an annual basis, is set at a level of support equal to that provided by the National Science Foundation graduate fellowships, which for academic year 1999-2000 will be \$15,000 for a 12-month period, prorated monthly for lesser periods. No stipend award, however, may exceed the Fellow's demonstrated level of need according to measurements of need set forth in Part F of Title IV of the Higher Education Act.

The institutional payment to be paid to each institution of higher education at which a Fellow is in attendance in 1998-1999 was \$10,222. The Secretary will adjust the institutional payment prior to the issuance of grant awards based on the Department of Labor's projection in December 1998 of the Consumer Price Index for 1999. The payment will continue to be adjusted annually in accordance with inflation as determined by the Department of Labor's CPI. The disposition of the institutional payment is solely the responsibility of the fellowship institution.

All awards are administered by the institutions of higher education that Javits Fellows have chosen to attend. The institution administering a grant award must do so in compliance with the regulations governing this program, including the disbursement of stipends and the application for continued annual support of the Fellow.

### **Conditions of Award**

A recipient of a fellowship must be enrolled or planning to enroll as a full-time student in a graduate program leading to a doctorate or Master in Fine Arts (MFA) in those fields in which



this is considered the terminal degree, in an eligible field of the arts, humanities, or social sciences, at an institution of higher education accredited by an accrediting agency recognized by the Secretary of the Department of Education. **Fellows must commence their graduate study in a program leading to a doctorate or MFA in the academic year of the grant award.**

Continued support beyond the initial year will be contingent upon (1) the availability of funds as appropriated by the U.S. Congress, (2) satisfactory academic progress as determined by the graduate institution and regulatory compliance, and (3) eligibility factors that may result from policies and priorities enacted by the Fellowship Board. Fellows are eligible for up to four years of support or to degree completion (which ever comes first).

No fellowship shall be awarded to an individual who is studying for a religious vocation. Candidates are eligible for fellowships if applying or accepted to a graduate program with the expressed intent of obtaining a doctorate or an MFA. **Application requirements for the Javits Fellowship Program will be strictly observed. Applications must be complete when submitted. Applications lacking any required elements upon receipt in the Department will be ruled incomplete and will not be reviewed.**

### **Restrictions**

A Fellow may not engage in gainful employment other than part-time employment at his or her graduate institution in teaching, research, or similar activities, as approved by the Secretary.

The institution of higher education may allow a Fellow to interrupt her or his study, only once, for a period not to exceed 12 months for the following purposes: (1) **work**, or (2) **travel**, or **independent study** away from the campus, if such independent study is supportive of the Fellows academic program. The institution shall continue payments for the period during which the Fellow is pursuing travel or independent study that is supportive of the recipient's academic program. **Any such interruption must first be approved by the institution of higher education where the Fellow is enrolled.**

Javits Fellowships are portable awards. **Proposed changes to a Fellow's institution or graduate program must be approved in advance by the Secretary of Education.** Such requests may be addressed to: Program Officer, Jacob K. Javits Fellowship Program, Department of Education, Washington, DC 20202-5247.

## APPLICATION REVIEW AND SELECTION PROCESS

Applicants are evaluated by panels of scholars and academics in the arts, humanities, and social sciences selected by the Fellowship Board. Panelists are selected for their expertise in the approved fields of study listed on the Applicant Information form of this application. The field of study code (Item No. 12 on the Applicant Information form) is used to assign applications to panels whose members have expertise appropriate to the applications being reviewed. Applicant selection of the appropriate field of study code is therefore very important. Using the correct code assures that an application is reviewed by individuals with expertise in that field.

Awardees are selected on the basis of demonstrated academic ability and their potential for making significant contributions to their particular fields of study. Personal Statements and letters of recommendation are given careful consideration, as are the applicant's academic achievements. **Applications that do not contain all required information will be ruled incomplete and will not be reviewed.**

**Applications for students in fields 101, 201-212, and 301-309 will be scored on the basis of 400 points, allocated as follows:**

- **Personal statement - 150.** The applicant's statement will be evaluated to determine a sense of purpose and professional objectives and an understanding of, and commitment to, scholarly activity. It should describe the applicant's proposed plan of study and how that study will enable the applicant to achieve his or her professional objectives. If the applicant is not currently attending school, it should describe the individual's activities since completing his or her last degree.
- **Letters of Recommendation - 100.** The three letters of recommendation will be evaluated to determine the applicant's potential to excel in graduate study in her or his chosen field; potential to do the original work required by the dissertation; indications of creativity and originality; academic commitment and breadth of knowledge; and general academic achievement and personal accomplishment. The author of a letter of recommendation should discuss items such as the applicant's breadth of academic knowledge, intellectual curiosity, communication skills, both oral and written, research skills, motivation, perseverance, creativity and originality.
- **Academic record - 100.** The applicant's record in his or her major field, the overall grade point average, and Graduate Record Examination (GRE) scores (where required) will be evaluated to determine the depth and breadth of education to date.
- **Awards and honors - 50.** The applicant's record will be evaluated for honors and awards (national, state, or local) and activities not reflected on official transcripts, such as publications, presentations at colloquia, scholastic and professional awards, exhibitions, and leadership distinctions.

**Applications for students in fields 102-106 will be scored on the basis of 400 points, allocated as follows:**

- **Personal statement - 100.** The applicant's statement will be evaluated to determine a sense of purpose and professional objectives and an understanding of, and commitment to, scholarly activity. It should describe the applicant's proposed plan of study and how that study will enable the applicant to achieve his or her professional objectives. If the applicant is not currently attending school, it should describe the individual's activities since completing his or her last degree.
- **Supporting materials in the Arts – 100.** The applicant's supporting materials will be evaluated to determine the applicant's potential to excel in graduate study in her or his chosen field; potential to do original work in the applicant's field; and indications of creativity and originality. **Note: a list of acceptable materials may be found on page 8.**
- **Letters of Recommendation - 100.** The three letters of recommendation will be evaluated to determine the applicant's potential to excel in graduate study in her or his chosen field; potential to do the original work required by the dissertation; indications of creativity and originality; academic commitment and breadth of knowledge; and general academic achievement and personal accomplishment. The author of a letter of recommendation should discuss items such as the applicant's breadth of academic knowledge, intellectual curiosity, communication skills, both oral and written, research skills, motivation, perseverance, creativity and originality.
- **Academic record - 50.** The applicant's record in his or her major field, the overall grade point average, and Graduate Record Examination (GRE) scores (where required) will be evaluated to determine the depth and breadth of education to date.
- **Awards and honors - 50.** The applicant's record will be evaluated for honors and awards (national, state, or local) and activities not reflected on official transcripts, such as publications, presentations at colloquia, scholastic and professional awards, exhibitions, and leadership distinctions.

### **The Fellowship Board**

The Fellowship Board, appointed by the U.S. Secretary of Education, is composed of distinguished individuals with experience in public and private institutions of higher education. The principal function of the Board is to establish the general policies for the Program and oversee its operation. In addition to selecting the fields in which fellowships are to be awarded, the Board determines the number of fellowships to be awarded, appoints applicant selection panels, establishes criteria for the selection of Fellows, and prepares and submits reports on the Program to the Congress.

# INSTRUCTIONS FOR COMPLETING AN APPLICATION FOR THE JACOB K. JAVITS FELLOWSHIP PROGRAM

## FOR ALL APPLICANTS

1. Submit **one original and one copy** of your complete application package. Copies of the letters of recommendation are not required. Materials sent separately from the application package will not be accepted.
2. A complete application package must contain the following items or it will not be reviewed:
  - **Applicant Information** Complete all items on the scannable form (inserted in the booklet). Use a number 2 pencil, except for items 9 and 11 which may be written or typed. Append details of academic honors and awards. **Use the scannable form only (no photocopies) in your original application.** Please do not staple or fold this form, as it will be read by machine. See instructions below. **Do not forget to sign the form.**
  - **Transcripts** Official transcripts or photocopies are required. Transcripts "issued to student" will be accepted. They must be legible. If academic qualifications cannot be determined due to illegible transcripts, an application will be ruled incomplete and will not be reviewed. **Transcripts sent directly from an institution to the Department of Education will not be accepted.**
  - **Applicants must submit a transcript from each institution attended (Item No. 9a of the scannable form).** Failure to include all transcripts may make it impossible to determine an applicant's academic qualifications. Such applications will be ruled incomplete and will not be reviewed.
  - **Graduate Record Examination Scores (GRE)** Official GRE scores or copies of GRE scores on the General Test are required of all applicants with the exception of those applying under eligible field codes 103 through 106 only. These scores must be submitted regardless of whether or not they were required for admission to your graduate program. Originals or copies, which do not bear the applicant's name, will be ruled incomplete. An applicant's name must appear on all originals or copies of GRE scores, or an application will be ruled incomplete and will not be reviewed. **Scores sent directly to the Department of Education will not be accepted.**
  - **Personal Statement** Two type-written pages maximum, include your signature. See pages 11-12. These pages may be recreated.
  - **Three Letters of Recommendation** Letters of recommendation, in their sealed envelopes, must accompany your original application.
  - **Certifications and Assurances** Include this form with your application. All applicants must sign the Certification of Eligibility (ED Form 80-0016, page 15) and submit it with the application.

**NOTE: Failure to provide complete and accurate information necessary to determine eligibility or to evaluate qualifications may result in the disqualification of your application. The provision of fraudulent information on your application may result in the withdrawal of a Javits fellowship, even after the award has been made.**

## **INSTRUCTIONS FOR COMPLETING THE SCANNABLE FORM**

### **APPLICANT INFORMATION**

- Item 1.                   **NAME:** Print or type your last name first, followed by your first, middle, or maiden names, then fill in the appropriate bubbles.
- Item 2.                   **SOCIAL SECURITY NUMBER:** Inclusion of your social security number is voluntary, however it is useful to the Department when tracking your application. If you do not provide one, a 9-digit identifier will be provided for you.
- Item 3.                   **STATUS:** Choose the one that best describes your current status. Select only one.
- Item 4.                   **NATIONALITY:** Self-explanatory.
- Item 5.                   **CURRENT ADDRESS:** Where mail can reach you at least until August 1, 1999.
- Item 6.                   **CURRENT PHONE NO.:** Where you can be reached between now and August 1, 1999.
- Item 7.                   **PERMANENT ADDRESS:** Where mail can reach you at any time.
- Item 8.                   **PERMANENT PHONE NO.:** Where you can be reached at any time.
- Item 9.                   **LIST IN CHRONOLOGICAL ORDER ALL INSTITUTIONS OF HIGHER EDUCATION ATTENDED:** Complete (a) - (h), self-explanatory.
- Item 10.                  **GRE TEST SCORES:** Complete for General Test only (actual score and percentile) if you are in the humanities, social sciences, or the arts (MFA excluded).
- Item 11.                  **ACADEMIC HONORS:** List all and identify if local, regional, or national. Append an explanatory sheet if necessary.
- Item 12.                  **FIELD OF STUDY CODE NUMBER:** Indicate the code most closely corresponding to your proposed field of study as listed on page 9. **Use only one code.** Be sure to fill in every block and bubble.
- Item 13.                  **CERTIFICATION:** Sign your name, and date the form.

## SPECIAL NOTES

### LETTERS OF RECOMMENDATION

Letters of recommendation should be completed by three faculty members who are well acquainted with your work, academic merit and promise, and are, preferably, from your proposed field of study. Recommenders providing the applicant with letters of recommendation should be reminded that their statements must be signed, enclosed in a sealed envelope bearing the applicant's full name across the front and signed again across the seal and returned to the applicant for inclusion in the original application package. **Recommendations sent directly to the Department of Education will not be accepted.** The Department of Education will treat recommenders' comments in strict confidence. This information, however, is subject to release to the applicant under provisions in the Privacy Act of 1974. The referees will be informed if their letters are sent to an applicant.

### FOR APPLICANTS IN THE ARTS ONLY

**In addition to the previous items required of all applicants, applications in the arts (except art history) should include supporting materials in the appropriate format or their applications will not be reviewed.**

Only the following types and quantities of materials are acceptable:

- o **Audio Cassette Tapes** containing no more than 5 minutes of performance time (no records, audio disks, or reel to reel)
- o **Slides** are limited to ten 35 mm-slides inserted in one standard plastic slide sleeve
  - label each slide with applicant's name
  - number each slide 1-10
  - place a dot on the slide holder to mark the lower left hand corner of image
  - prepare a slide identification sheet with slides listed 1-10 with name of applicant. For each slide provide the slide title (if any)
- o **Photographs** -- no more than ten 5x7" or 8.5x11" B&W or color prints (print your name on the back)
- o **Video Tape** -- one VHS tape with a five-minute maximum length (print or type your name on the cassette)
- o **Manuscripts** -- Limited to a maximum of 25 double-spaced pages (print or type your name on each page)

**MATERIALS SUBMITTED AS PART OF THIS APPLICATION WILL NOT BE RETURNED**

## **NOTIFICATION OF APPLICANT DISPOSITION**

A notice will be sent to individuals on or around April 30, 1999 informing them of their selection as Jacob K. Javits Fellows or alternates. All other applicants will be sent notices of non-selection to their permanent addresses. This notification date, however, may be earlier or later, depending upon the number of applications received.

## **STARTING DATE OF FELLOWSHIP**

Fiscal Year 1999 Fellows must commence their graduate study in academic year 1999-2000.  
**The Javits award may not be deferred.**

## ELIGIBLE FIELDS

### CODES

### ARTS

101	Art History (including Architectural History)
102	Creative Writing
103	Music Performance, Theory, Composition, and Literature
104	Studio Arts (including Photography)
105	Television, Film, and Cinematography
106	Theater Arts, Playwriting, Screenwriting, Acting, and Dance

### HUMANITIES

201	Archaeology
202	Area Studies
203	Classics
204	Comparative Literature
205	English Language and Literature
206	Folklore, Folklife
207	Foreign Languages and Literature
208	History
209	Linguistics
210	Philosophy
211	* Religion
212	Speech, Rhetoric, and Debate

### SOCIAL SCIENCES

301	Anthropology
302	Communications and Media
303	Economics
304	Ethnic and Cultural Studies
305	Geography
306	Political Science
307	* Psychology
308	Public Policy, and Public Administration
309	* Sociology

**\* Fellowships cannot be used for students studying for a religious vocation, or for study for a Masters degree or Ph.D. in clinical psychology or social work.**



## PRIVACY ACT STATEMENT

*In accordance with the Privacy Act of 1974 (Public Law No. 93-579, 5 U.S.C. 552a), you are hereby notified that: (1) The Department is authorized to collect information to implement the Jacob K. Javits Fellowship Program under Title VII, Part A, Subpart 1 of the Higher Education Act of 1965, as amended (20 U.S.C. 1134h-1134k). In accordance with this authority, the Office receives and maintains personal information on applicants for use in processing applications and selecting participants. (2) The principle purpose for collecting this information is to administer the program, including use by program staff, the Fellowship Board, and selection panel members, to determine eligibility and to select Fellows. Disclosure may be made to a Congressional office from the record of an individual in response to any inquiry from the Congressional office made at the request of that individual. If the Department or an employee of the Department is involved in litigation, the Department may send your information to the Department of Justice, a court, adjudicative body, individual or entity empowered by the Department to resolve disputes, counsel or representative or potential witness such disclosure is compatible withness provided such disclosure is compatible with purpose for which the information was requested. Disclosure may also be made to the Department of Justice for the purpose of obtaining its advice as whether particular records are required to be disclosed under the Freedom of Information Act. When the appropriate office of ED determines that an individual or an organization is qualified to carry out specific research, that official may disclose information to that researcher solely for the purpose of carrying out the research. When ED contracts with a private firm for the purpose of administering the seminar(s), relevant records will be disclosed to the contractor. The contractor shall be required to maintain Privacy Act safeguards with respect to such records. Information may be disclosed to any Federal, State, local, or foreign agency or public authority responsible for enforcing, investigating, or prosecuting violations of administrative, civil, or criminal law or regulation where that information is relevant to any enforcement, regulatory, investigative, or prosecutive responsibility with the receiving entity's jurisdiction. (3) You are not required to submit the information requested in this application, however the information will be used in the processing and evaluation of applications; and the failure to provide all or any part of the requested information may delay the process or make it impossible to process an application (disclosure of your social security number is voluntary; failure to disclose such number will not result in the denial of any right, benefit, or privilege to which an individual may be entitled.)*

# JACOB K. JAVITS FELLOWSHIP PROGRAM

## PERSONAL STATEMENT

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SIGNATURE

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DATE

## **APPLICATION TRANSMITTAL INSTRUCTIONS**

### **Application Deadline**

Applications must be **postmarked** or hand-delivered by the date announced in the Application Notice, **March 19, 1999**.

### **Applications Delivered by Mail**

An application sent by mail must be addressed to:

**U.S. Department of Education  
Application Control Center  
Attn: CFDA #84.170  
400 Maryland Avenue, SW  
Washington, D.C. 20202-4725**

An application must show proof of mailing consisting of one of the following:

- (1) A legibly dated U.S. Postal Service Postmark.
- (2) A legible mail receipt with the date of mailing stamped by the U.S. Postal Service.
- (3) A dated shipping label, invoice, or receipt from a commercial carrier.
- (4) Any other proof of mailing acceptable to the U.S. Department of Education.

If an application is sent through the U.S. Postal Service, the Secretary does not accept either of the following as proof of mailing:

- (1) A private metered postmark, or
- (2) A mail receipt that is not dated by the U.S. Postal Service.

An applicant should note that the U.S. Postal Service does not uniformly provide a dated postmark. Before relying on this method, an applicant should check with the local post office. An applicant is encouraged to use registered or at least first-class mail. Each late applicant will be notified that its application will not be considered.

### **Applications Delivered by Hand/Courier Service**

Applications should be hand delivered to:

**U.S. Department of Education  
Application Control Center  
General Services Administration  
National Capital Region, Room 3633  
7th and D Streets, SW  
Washington, DC 20202-4725**

The Application Control Center will accept deliveries between 8:00 a.m. and 4:30 p.m. (Washington, DC) daily, except Saturdays, Sundays, and Federal holidays. Individuals delivering applications must use the D street entrance. Proper identification is necessary to enter the building. In order for an application sent through a Courier Service to be considered timely, the Courier Service must be in receipt of the application on or before the closing date.

**IMPORTANT NOTICE  
TO PROSPECTIVE PARTICIPANTS  
IN U.S. DEPARTMENT OF EDUCATION  
CONTRACT AND GRANT PROGRAMS**

**GRANTS**

Applicants for grants from the U.S. Department of Education (ED) have to compete for limited funds.

Deadlines assure all applicants that they will be treated fairly and equally, without last minute haste.

For these reasons, ED must set strict deadlines for grant applications. Prospective applicants can avoid disappointment if they understand that:

Failure to meet a deadline will mean that an applicant will be  
Rejected without any consideration whatever.

The rules, including the deadline, for applying for each grant are published, individually, in the Federal Register. A one-year subscription to the Register may be obtained by sending \$340.00 to: Superintendent of Documents, U.S. Government Printing Office, Washington, D.C. 20402-9371. (Send check or money order only, no cash or stamps.)

The instructions in the Federal Register must be followed exactly. Do not accept any other advice you may receive. No ED employee is authorized to extend any deadline published in the Register.

Questions regarding submission of applications may be addressed to:

U.S. Department of Education  
Application Control Center  
Washington, D.C. 20202-4725

**CONTRACTS**

Competitive procurement actions undertaken by the ED are governed by the Federal Procurement Regulation and implementing ED Procurement Regulation.

Generally, prospective competitive procurement actions are synopsisized in the Commerce Business Daily (CBD). Prospective offerors are therein advised of the nature of the procurement and where to apply for copies of the Request for Proposals (RFP).

Offerors are advised to be guided solely by the contents of the CBD synopsis and the instructions contained in the RFP. Questions regarding the submission of offers should be addressed to the Contracts Specialist identified on the face page of the RFP.

Offers are judged in competition with others, and failure to conform with any substantive requirements of the RFP will result in rejection of the offer without any consideration whatever.

Do not accept any advice you receive that is contrary to instructions contained in either the CBD synopsis or the RFP. No ED employee is authorized to consider a proposal which is non-responsive to the RFP.

A subscription to the CBD is available for \$208.00 per year via second class mailing or \$261.00 per year via first class mailing. Information included in the Federal Acquisition Regulation is contained in Title 48, Code of Federal Regulations, Chapter 1 (\$49.00). The foregoing publication may be obtained by sending your check or money order only, no cash or stamps, to:

Superintendent of Documents  
U.S. Government Printing Office  
Washington, D.C. 20402-9371

In an effort to be certain this important information is widely disseminated, this notice is being included in all ED mail to the public. You may therefore, receive more than one notice. If you do, we apologize for any annoyance it may cause you.



## Certification of Eligibility for Federal Assistance in Certain Programs

I understand that 34 CFR 75.60, 75.61, and 75.62 require that I make specific certifications of eligibility to the U.S. Department of Education as a condition of applying for Federal funds in certain programs and that these requirements are in addition to any other eligibility requirements that the U.S. Department of Education imposes under program regulations. Under 34 CFR 75.60 – 75.62:

I. I certify that

A. I do not owe a debt, or I am current in repaying a debt, or I am not in default (as that term is used at 34 CFR Part 668) on a debt:

1. To the Federal Government under a nonprocurement transaction (e.g., a previous loan, scholarship, grant, or cooperative agreement); or
2. For a fellowship, scholarship, stipend, discretionary grant, or loan in any program of the U.S. Department of Education that is subject to 34 CFR 75.60, 75.61, and 75.62, including:
  - Federal Pell Grant Program (20 U.S.C. 1070a, et seq.);
  - Federal Supplemental Educational Opportunity Grant (SEOG) Program (20 U.S.C. 1070(b), et seq.);
  - State Student Incentive Grant Program (SSIG) 20 U.S.C. 1070c, et seq.);
  - Federal Perkins Loan Program (20 U.S.C. 1087aa, et seq.);
  - Income Contingent Direct Loan Demonstration Project (20 U.S.C. 1087a, note);
  - Federal Stafford Loan Program, Federal Supplemental Loans for Students [SLS], Federal PLUS, or Federal Consolidation Loan Program (20 U.S.C. 1071, et seq.);
  - Cuban Student Loan Program (20 U.S.C. 2601, et seq.);
  - Robert C. Byrd Honors Scholarship Program (20 U.S.C. 1070d-31, et seq.);
  - Jacob K. Javits Fellows Program (20 U.S.C. 1134h-1134l);
  - Patricia Roberts Harris Fellowship Program (20 U.S.C. 1134d-1134g);
  - Christa McAuliffe Fellowship Program (20 U.S.C. 1105-1105I);
  - Bilingual Education Fellowship Program (20 U.S.C. 3221-3262);
  - Rehabilitation Long-Term Training Program (29 U.S.C. 774(b));
  - Paul Douglas Teacher Scholarship (20 U.S.C. 1104, et seq.);
  - Law Enforcement Education Program (42 U.S.C. 3775);
  - Indian Fellowship Program (29 U.S.C. 774(b));

OR

B. I have made arrangements satisfactory to the U.S. Department of Education to repay a debt as described in A.1. or A.2. (above) on which I had not been current in repaying or on which I was in default (as that term is used in 34 CFR Part 668).

II. I certify also that I have not been declared by a judge, as a condition of sentencing under section 5301 of the Anti-Drug Abuse Act of 1988 (21 U.S.C. 862), ineligible to receive Federal assistance for the period of this requested funding.

I understand that providing a false certification to any of the statements above makes me liable for repayment to the U.S. Department of Education for funds received on the basis of this certification, for civil penalties, and for criminal prosecution under 18 U.S.C. 1001.

\_\_\_\_\_  
(Signature)

\_\_\_\_\_  
(Date)

\_\_\_\_\_  
(Typed or Printed Name)

Name or Number of the USDE program under which this certification is being made: \_\_\_\_\_  
ED 80-0016 (9/92)

**TITLE VII—GRADUATE AND POSTSECONDARY****IMPROVEMENT PROGRAMS****PART A--GRADUATE EDUCATION PROGRAMS****Subpart 1--Jacob K. Javits Fellowship Program****SEC. 701. AWARD OF JACOB K. JAVITS FELLOWSHIPS.**

(a) Authority and Timing of Awards.--The Secretary is authorized to award fellowships in accordance with the provisions of this subpart for graduate study in the arts, humanities, and social sciences by students of superior ability selected on the basis of demonstrated achievement, financial need, and exceptional promise. The fellowships shall be awarded to students who are eligible to receive any grant, loan, or work assistance pursuant to section 484 and intend to pursue a doctoral degree, except that fellowships may be granted to students pursuing a master's degree in those fields in which the master's degree is the terminal highest degree awarded in the area of study. All funds appropriated in a fiscal year shall be obligated and expended to the students for fellowships for use in the academic year beginning after July 1 of the fiscal year following the fiscal year for which the funds were appropriated. The fellowships shall be awarded for only 1 academic year of study and shall be renewable for a period not to exceed 4 years of study.

(b) Designation of Fellows.--Students receiving awards under this subpart shall be known as 'Jacob K. Javits Fellows'.

(c) Interruptions of Study.--The institution of higher education may allow a fellowship recipient to interrupt periods of study for a period not to exceed 12 months for the purpose of work, travel, or independent study away from the campus, if such independent study is supportive of the fellowship recipient's academic program and shall continue payments for those 12-month periods during which the student is pursuing travel or independent study supportive of the recipient's academic program.

(d) Process and Timing of Competition.--The Secretary shall make applications for fellowships under this part available not later than October 1 of the academic year preceding the academic year for which fellowships will be awarded, and shall announce the recipients of fellowships under this section not later than March 1 of the academic year preceding the academic year for which the fellowships are awarded.

(e) Authority To Contract.--The Secretary is authorized to enter into a contract with a nongovernmental agency to administer the program assisted under this part if the Secretary determines that entering into the contract is an efficient means of carrying out the program.

**SEC. 702. ALLOCATION OF FELLOWSHIPS.****(a) Fellowship Board.--**

(1) Appointment.--The Secretary shall appoint a Jacob K. Javits Fellows Program Fellowship Board (hereinafter in this subpart referred to as the 'Board') consisting of 9 individuals representative of both public and private institutions of higher education who are especially qualified to serve on the Board. In making appointments, the Secretary shall give due consideration to the appointment of individuals who are highly respected in the academic community. The Secretary shall assure that individuals appointed to the Board are broadly representative of a range of disciplines in graduate education in arts, humanities, and social sciences.

**(2) Duties.--The Board shall--**

(A) establish general policies for the program established by this subpart and oversee the program's operation;

(B) establish general criteria for the award of fellowships in academic fields identified by the Board, or, in the event that the Secretary enters into a contract with a nongovernmental entity to administer the program assisted under this subpart, by such nongovernmental entity;

(C) appoint panels of academic scholars with distinguished backgrounds in the arts, humanities, and social sciences for the purpose of selecting fellows, except that, in the event that the Secretary enters into a contract with a nongovernmental entity to administer the program, such panels may be appointed by such nongovernmental entity; and

(D) prepare and submit to the Congress at least once in every 3-year period a report on any modifications in the program that the Board determines are appropriate.

(3) Consultations.--In carrying out its responsibilities, the Board shall consult on a regular basis with representatives of the National Science Foundation, the National Endowment for the Humanities, the National Endowment for the Arts, and representatives of institutions of higher education and associations of such institutions, learned societies, and professional organizations.

(4) Term.--The term of office of each member of the Board shall be 4 years, except that any member appointed to fill a vacancy shall serve for the remainder of the term for which the predecessor of the member was appointed. No member may serve for a period in excess of 6 years.

(5) Initial meeting; vacancy.--The Secretary shall call the first meeting of the Board, at which the first order of business shall be the election of a Chairperson and a Vice Chairperson, who shall serve until 1 year after the date of the appointment of the Chairperson and Vice Chairperson. Thereafter each officer shall be



elected for a term of 2 years. In case a vacancy occurs in either office, the Board shall elect an individual from among the members of the Board to fill such vacancy.

(6) Quorum; additional meetings.--(A) A majority of the members of the Board shall constitute a quorum.

(B) The Board shall meet at least once a year or more frequently, as may be necessary, to carry out the Board's responsibilities.

(7) Compensation.--Members of the Board, while serving on the business of the Board, shall be entitled to receive compensation at rates fixed by the Secretary, but not exceeding the rate of basic pay payable for level IV of the Executive Schedule, including travel time, and while so serving away from their homes or regular places of business, the members may be allowed travel expenses, including per diem in lieu of subsistence, as authorized by section 5703 of title 5, United States Code, for persons in Government service employed intermittently.

(b) Use of Selection Panels.--The recipients of fellowships shall be selected in each designated field from among all applicants nationwide in each field by distinguished panels appointed by the Board to make such selections under criteria established by the Board, except that, in the event that the Secretary enters into a contract with a nongovernmental entity to administer the program, such panels may be appointed by such nongovernmental entity. The number of recipients in each field in each year shall not exceed the number of fellows allocated to that field for that year by the Board.

(c) Fellowship Portability.--Each recipient shall be entitled to use the fellowship in a graduate program at any accredited institution of higher education in which the recipient may decide to enroll.

### **SEC. 703. STIPENDS.**

(a) Award by Secretary.--The Secretary shall pay to individuals awarded fellowships under this subpart such stipends as the Secretary may establish, reflecting the purpose of this program to encourage highly talented students to undertake graduate study as described in this subpart. In the case of an individual who receives such individual's first stipend under this subpart in academic year 1999-2000 or any succeeding academic year, such stipend shall be set at a level of support equal to that provided by the National Science Foundation graduate fellowships, except such amount shall be adjusted as necessary so as not to exceed the fellow's demonstrated level of need determined in accordance with part F of title IV.

(b) Institutional Payments.--

(1) In general.--(A) The Secretary shall (in addition to stipends paid to individuals under this subpart) pay to the institution of higher education, for each individual awarded a fellowship under this subpart at such institution, an institutional allowance. Except as provided in subparagraph (B), such allowance

shall be, for 1999-2000 and succeeding academic years, the same amount as the institutional payment made for 1998-1999 under section 933(b) (as such section was in effect on the day before the date of enactment of the Higher Education Amendments of 1998) adjusted for 1999-2000 and annually thereafter in accordance with inflation as determined by the Department of Labor's Consumer Price Index for the previous calendar year.

(B) The institutional allowance paid under subparagraph (A) shall be reduced by the amount the institution charges and collects from a fellowship recipient for tuition and other expenses as part of the recipient's instructional program.

(2) Special rules.--(A) Beginning March 1, 1992, any applicant for a fellowship under this subpart who has been notified in writing by the Secretary that such applicant has been selected to receive such a fellowship and is subsequently notified that the fellowship award has been withdrawn, shall receive such fellowship unless the Secretary subsequently makes a determination that such applicant submitted fraudulent information on the application.

(B) Subject to the availability of appropriations, amounts payable to an institution by the Secretary pursuant to this subsection shall not be reduced for any purpose other than the purposes specified under paragraph (1).

#### **SEC. 704. FELLOWSHIP CONDITIONS.**

(a) Requirements for Receipt.--An individual awarded a fellowship under the provisions of this subpart shall continue to receive payments provided in section 703 only during such periods as the Secretary finds that such individual is maintaining satisfactory proficiency in, and devoting essentially full time to, study or research in the field in which such fellowship was awarded, in an institution of higher education, and is not engaging in gainful employment other than part-time employment by such institution in teaching, research, or similar activities, approved by the Secretary.

(b) Reports From Recipients.--The Secretary is authorized to require reports containing such information in such form and filed at such times as the Secretary determines necessary from any person awarded a fellowship under the provisions of this subpart. The reports shall be accompanied by a certificate from an appropriate official at the institution of higher education, library, archive, or other research center approved by the Secretary, stating that such individual is making satisfactory progress in, and is devoting essentially full time to the program for which the fellowship was awarded.

#### **SEC. 705. AUTHORIZATION OF APPROPRIATIONS.**

There are authorized to be appropriated \$30,000,000 for fiscal year 1999 and such sums as may be necessary for each of the 4 succeeding fiscal years to carry out this subpart.

4000-01-U

DEPARTMENT OF EDUCATION

34 CFR part 650

Jacob K. Javits Fellowship Program

AGENCY: Office of Postsecondary Education, Department of Education.

ACTION: Final regulations.

SUMMARY: The Secretary amends the regulations governing the Jacob K. Javits Fellowship Program to incorporate changes made by the Higher Education Amendments of 1998. These final regulations are needed to reflect changes made by recently enacted legislation.

EFFECTIVE DATE: These regulations take effect (insert date 30 days after date of publication in the **Federal Register**).

FOR FURTHER INFORMATION CONTACT: Melissa Burton, U.S. Department of Education, 400 Maryland Avenue, SW., Suite 600 Portals Building, Washington, DC 20202-5247.

Telephone: (202) 401-9779. Individuals who use a telecommunications device for the deaf (TDD) may call the Federal Information Relay Service (FIRS) at 1-800-877-8339, between 8 a.m. and 8 p.m., Eastern time, Monday through Friday.

Individuals with disabilities may obtain this document in an alternate format (e.g., Braille, large print, audiotape, or computer diskette) on request to the contact person listed in the preceding paragraph.

SUPPLEMENTARY INFORMATION: These final regulations incorporate statutory changes made by the Higher Education Amendments of 1998 (Pub. L. 105-244, enacted October 7, 1998). The changes include revising the authority citations; amending the selection criteria for students to include financial need; providing the Secretary with the option of entering into a contract with a nongovernmental entity to administer the program; and revising the general provisions to read that no institutional payment will be made to a school or department of divinity for an individual who is studying for a religious vocation.

## **Goals 2000: Educate America Act**

The Goals 2000: Educate America Act (Goals 2000) focuses the Nation's education reform efforts on the eight National Education Goals and provides a framework for meeting them. Goals 2000 promotes new partnerships to strengthen schools and expands the Department's capacities for helping communities to exchange ideas and obtain information needed to achieve the goals.

These regulations address the National Education Goal that every adult American will be literate and will possess the knowledge and skills necessary to compete in a global economy and exercise the rights and responsibilities of citizenship. The regulations further the objectives of this Goal by implementing a program that affords students the opportunity to become experts in their chosen fields through graduate education and to more effectively compete in a global economy.

## **Waiver of Proposed Rulemaking**

In accordance with the Administrative Procedure Act, 5 U.S.C. 553, it is customary for the Secretary to offer interested parties the opportunity to comment on proposed regulations. However, the changes in this document do not establish any new substantive rules, but simply incorporate recent statutory amendments affecting the Jacob K. Javits Fellowship Program. Therefore, the Secretary has determined that publication of a notice of proposed rulemaking is unnecessary and contrary to the public interest under 5 U.S.C. 553(b)(B).

## **Regulatory Flexibility Act**

The Secretary certifies that these regulations will not have a significant economic impact on a substantial number of small entities. Under this program fellowships are awarded to individuals. Individuals are not included in the definition of small entities under the Regulatory Flexibility Act.

## Paperwork Reduction Act of 1995

These regulations have been examined under the Paperwork Reduction Act of 1995 and have been found to contain no information collection requirements.

## Intergovernmental Review

This program is subject to the requirements of Executive Order 12372 and the regulations in 34 CFR Part 79. The objective of the Executive order is to foster an intergovernmental partnership and a strengthened federalism by relying on processes developed by State and local governments for coordination and review of proposed Federal financial assistance.

In accordance with the order, this document is intended to provide early notification of the Department's specific plans and actions for this program.

## Assessment of Educational Impact

The Department has determined that the regulations in this document do not require transmission of information that is being gathered by or is available from any other agency or authority of the United States.

## Electronic Access to This Document

Anyone may view this document, as well as all other Department of Education documents published in the **Federal Register**, in text or portable document format (pdf) via the Internet at either of the following sites:

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Anyone may also view these documents in text copy only on an electronic bulletin board of the Department. Telephone: (202) 219-1511 or, toll free, 1-800-222-4922. The documents are located under Option G--Files/Announcements, Bulletins and Press Releases.

Note: The official version of this document is the document published in the **Federal Register**.

**List of Subjects in 34 CFR 650**

Colleges and universities, Education Fellowships, Grant programs, and Reporting and recordkeeping requirements.

Dated:

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Maureen A. McLaughlin,  
Acting Assistant Secretary  
for Postsecondary Education.

(Catalog of Federal Domestic Assistance Number 84.170 Jacob K. Javits Fellowship Program)

The Secretary amends title 34 of the Code of Federal Regulations by revising part 650 as follows:

**PART 650 -- JACOB K. JAVITS FELLOWSHIP PROGRAM**

1. The authority citation for part 650 is revised to read as follows:

AUTHORITY: 20 U.S.C. 1134-1134d, unless otherwise noted.

2. The authority citation following §650.1(a) is removed.

3. The authority citation for §§650.1, 650.3, 650.10, 650.31, and 650.35 is revised to read as follows:

(Authority: 20 U.S.C. 1134)

4. The authority citation for §§650.2, 650.4, 650.30, 650.34, and 650.40 is revised to read as follows:

(Authority: 20 U.S.C. 1134-1134d)

5. The authority citation for §§650.5, 650.32, 650.41, 650.42, and 650.43 is revised to read as follows:

(Authority: 20 U.S.C. 1134b)

6. The authority citation for §650.20 is revised to read as follows:

(Authority: 20 U.S.C. 1134a)

7. The authority citation for §650.33 is revised to read as follows:

(Authority: 20 U.S.C. 1134, 1134c)

8. The authority citation for §§650.36, 650.37, and 650.44 is revised to read as follows:

(Authority: 20 U.S.C. 1134c)

9. Section 650.1(a) is amended by adding ", financial need," after the word "achievement".

10. Section 650.2(a) is amended by removing ", other than a school or department of divinity," and by adding ", and is not studying for a religious vocation," after the word "degree"; and paragraph (d)(1)(iv) is revised to read as follows:

æ650.2 Who is eligible to receive a fellowship?

---

(d)(1) \*\*\*

(iv) A citizen of any one of the Freely Associated States; or

\*\*\*\*\*

11. Section 650.4 is amended by removing the definition of "School or department of divinity"; and by removing", other than a school or department of divinity," in the definition of "Institution of higher education".

12. Section 650.20(c) is amended by adding ", or in the event the Secretary contracts with a non-governmental entity to administer the program, that non-governmental entity," after the word "Board".

13. Section 650.30 is amended by removing ", other than a school or department of divinity, which is".

14. Section 650.41(a) is amended by removing "1993-1994" and adding, in its place, "1998-1999"; and by removing "\$9,000" and adding, in its place, "\$10,222".



**GRANT APPLICATION RECEIPT ACKNOWLEDGEMENT**

**If you fail to receive the notification of application receipt within fifteen (15) days  
from the closing date, call:**

**U.S. Department of Education  
Coordination and Control Branch  
(202) 708-9495**

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**U.S. DEPARTMENT OF EDUCATION  
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